



Patent
226/104

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:) Group Art Unit 1801
)
Bradford Duft et al.) Examiner
)
Serial No. 08/870,762)
)
Filed: June 6, 1997)
)
For: METHODS FOR TREATING)
OBESITY)

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TECH CENTER 1600/2900

TRANSMITTAL LETTER

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Sir:

Transmitted herewith for filing in the above-identified
application are the following documents:

- Submission of Missing Parts
- Executed Combined Declaration and Power of Atty.
- Statement under 37 C.F.R. 1.821(f)
- Response to Notice to Comply with Sequences
- Sequence List (paper and disk copy)

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231

January 27, 1997
date of deposit

Sheryl Schoen
name of person mailing paper
Sheryl Schoen
signature of person mailing paper


- Copy of Notices
- Assignment Recordation Sheet
- Executed Assignments

The Commissioner is authorized to charge any fees associated with the present filing to Deposit Account No. 01-0535.

Respectfully submitted,

Dated: January 27, 1998

By


Bradford J. Duft

Registration No. 32,219

LYON & LYON, LLP
First Interstate World Center
633 West Fifth Street, Suite 4700
Los Angeles, CA 90071-2066
(619) 552-8400

[PATENTS\226104\TRNS.LTR]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:)	Group Art Unit: 1801
)	
Bradford Duft et al.)	Examiner: To be assigned
)	
Serial No. 08/870,762)	
)	
Filed: June 6, 1997 August 8, 1996)	
)	
For: METHODS FOR TREATING OBESITY)	
)	
)	

SUBMISSION OF MISSING PARTS AND RELATED APPLICATION PAPERS

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(d)**, which was mailed on August 27, 1997, enclosed are:

- ☒ Combined Declaration and Power of Attorney
☒ Recordation Form Cover Sheet (Patents Only)
☒ Assignment of the Invention to AMYLIN PHARMACEUTICALS, INC.
☐ Verified Statement to establish "SMALL ENTITY STATUS" under 37 CFR 1.9(f) and 1.27(c).

1. REQUEST FOR EXTENSION OF TIME:

- ☒ Applicant requests an **Extension of Time** of three (3) months to respond; the requisite fee has been calculated as shown below:

		Small Entity	Large Entity
<input type="checkbox"/>	1 month	\$ 55.00	\$ 110.00
<input type="checkbox"/>	2 months	\$ 200.00	\$ 400.00
<input checked="" type="checkbox"/>	3 months	\$ 475.00	\$ 950.00
<input type="checkbox"/>	4 months	\$ 755.00	\$ 1510.00
	Total Fee Due	\$ _____	\$ 950.00

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231

January 27, 1997
date of deposit

Sheryl Schoen
name of person mailing paper
Sheryl Schoen
signature of person mailing paper

2. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

BASIC FILING FEE	\$	0.00
Total Claims: ___ - (20) = ___ x \$ 22 (extra fee charge) =	\$	0.00
Independent Claims: ___ - (3) = ___ x \$ 80 (extra fee charge) =	\$	0.00
Multiple Dependent Claims ___ \$260 (if applicable) =	\$	0.00
Surcharge under 37 CFR 1.16(e)	\$	130.00
TOTAL OF ABOVE CALCULATIONS	\$	130.00
Reduction by 1/2 for Filing by SMALL ENTITY . Note 37 CFR 1.9, 1.27, 1.28. If application, Verified Statement must be attached	-\$	0.00
Miscel. Filing Fees (Recordation of Assignment)	\$	40.00
TOTAL FEES	\$	1120.00

3. METHOD OF PAYMENT OF FEES

___ A check in the amount of \$_____
xx Charge Deposit Account No. 01-0535 in the amount of \$ 1120.00. A duplicate copy of this Transmittal is enclosed.

4. AUTHORIZATION TO CHARGE FEES TO DEPOSIT ACCOUNT 01-0535

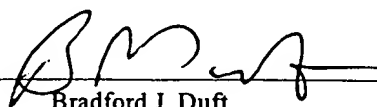
XX The Commissioner is authorized to charge Counsel's Deposit Account No. 01-0535 for any fees required under 37 CFR 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account 12-2475.

Respectfully submitted,

LYON & LYON

Dated: January 27, 1998

By: _____


Bradford J. Duft
Reg. No. 32,219

First Interstate World Center
633 West Fifth Street, Suite 4700
Los Angeles, CA 90071-2066
Telephone: (213) 489-1600
Facsimile: (213) 955-0440



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/870,762 06/06/97 DUFT

B 226/104

0232/0827

BRADFORD J DUFT
LYON & LYON
633 WEST FIFTH STREET
SUITE 4700
LOS ANGELES CA 90071

NOT ASSIGNED

1801
DATE MAILED:

08/27/97

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☒ large entity ☐ small entity (verified statement filed), is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☒ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

C.M. Raymond
A copy of this notice **MUST** be returned with the response.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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SEP 02 1997

U.S. PROSECUTION

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 CFR 1.821 - 1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c). --
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
- ☐ 7. Other: _____

Applicant must provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing"
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d)

For questions regarding compliance with these requirements, please contact:

For Rules Interpretation, call (703) 308-1123
For CRF submission help, call (703) 308-4212
For PatentIn software help, call (703) 308-6856

Please return a copy of this notice with your response.

Appl: Duft et al.
USSN: 08/870,762
For: Methods for Treating Obesity
Filed: 06/06/97
ASCII format - DOS 07.00
January 27, 1998
Docket No. 226/104

10/11/97
10/11/97

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